### Semi-Supervised Learning

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# What is Semi-Supervised Learning?

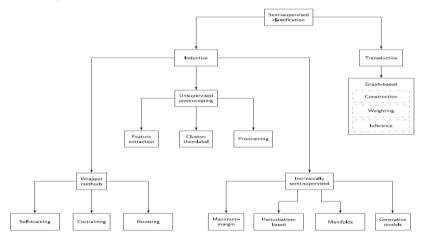
Semi-supervised learning is an approach to machine learning that combines a small amount of labeled data with a large amount of unlabeled data during training

In order to make any use of unlabeled data, some relationship to the underlying distribution of data must exist. Semi-supervised learning algorithms make use of at least one of the following assumptions:

- Smoothness Assumption Points close to each other share same label
- Low Density Assumption The decision boundary of classifier should pass through low density region in input space
- **3. Manifold Assumption** The high dimensional data roughly lie on a low dimensional manifold.

## Popular Semi-supervised Learning Methods

### Taxonomy of SSL methods:



Reference: https://link.springer.com/content/pdf/10.1007/s10994-019-05855-6.pdf

## Popular Semi-Supervised Learning Methods

**Perturbation based SSL methods**: The smoothness assumption entails that a predictive model should be robust to local perturbations in its input. This means that, when we perturb a data point with a small amount of noise, the predictions for the noisy and the clean inputs should be similar

Popular Examples of Perturbation based SSL methods:

- 1. Ladder Networks
- 2. Temporal Ensembling and Pi Model
- 3. Mean Teacher

### Ladder Networks

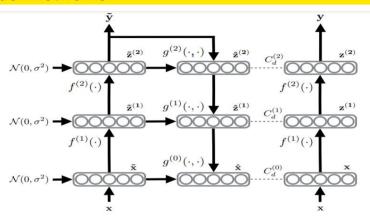


Figure 2: A conceptual illustration of the Ladder network when L=2. The feedforward path  $(\mathbf{x} \to \mathbf{z}^{(1)} \to \mathbf{z}^{(2)} \to \mathbf{y})$  shares the mappings  $f^{(l)}$  with the corrupted feedforward path, or encoder  $(\mathbf{x} \to \tilde{\mathbf{z}}^{(1)} \to \tilde{\mathbf{z}}^{(2)} \to \tilde{\mathbf{y}})$ . The decoder  $(\tilde{\mathbf{z}}^{(l)} \to \hat{\mathbf{z}}^{(l)} \to \hat{\mathbf{x}})$  consists of the denoising functions  $g^{(l)}$  and has cost functions  $C_d^{(l)}$  on each layer trying to minimize the difference between  $\tilde{\mathbf{z}}^{(l)}$  and  $\mathbf{z}^{(l)}$ . The output  $\tilde{\mathbf{y}}$  of the encoder can also be trained to match available labels t(n).

Reference: https://arxiv.org/pdf/1507.02672v2.pdf

# Temporal Ensembling and Pi Model

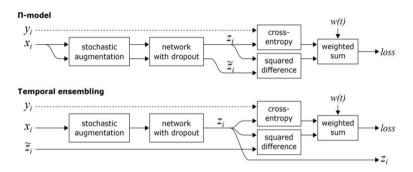
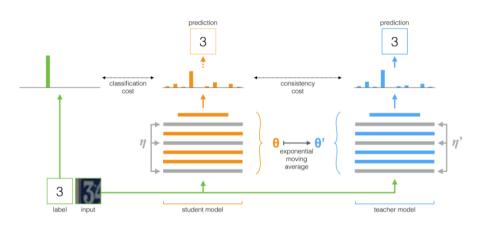


Figure 1: Structure of the training pass in our methods. Top:  $\Pi$ -model. Bottom: temporal ensembling. Labels  $y_i$  are available only for the labeled inputs, and the associated cross-entropy loss component is evaluated only for those.

Reference: https://arxiv.org/pdf/1610.02242v3.pdf

### Mean Teacher



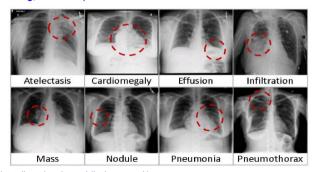
Reference: https://arxiv.org/pdf/1703.01780v6.pdf

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### NIH ChestXray Dataset:

NIH Chest X-ray Dataset of 14 Common Thorax Disease Categories:

(1, Atelectasis; 2, Cardiomegaly; 3, Effusion; 4, Infiltration; 5, Mass; 6, Nodule; 7, Pneumonia; 8, Pneumothorax; 9, Consolidation; 10, Edema; 11, Emphysema; 12, Fibrosis; 13, Pleural Thickening; 14 Hernia)



Reference: https://www.kaggle.com/nih-chest-xrays/data

### Dataset overview:

- 1. A total of 112,120 frontal-view X-ray images of shape 1024x1024
- Data Split → Training = 78468, Validation = 11219, Test = 22433
   Training Data → 7000 labelled, 71468 unlabelled
- 1. Each label is a multi-class label i.e. each X-ray image can have multiple diseases.

### Dataset pre-processing:

- 1. Each batch from the training set is of size 16, with 4 labelled examples in it.
- 2. Transformations like changing the brightness, contrast, RandomAffine is applied on the datapoints.

Base Model used for student and teacher model:

Pre-trained DenseNet121 with a output of sigmoid classifier of 14 labels.

### Losses:

Supervised loss = Classification cost (Binary Cross Entropy) Unsupervised loss = consistency cost between student and teacher model (MSE loss)

### Algorithm:

- 1. For a batch in training set, do
- student\_logits = student\_model(input1)
   teacher\_logits = teacher\_model(input2)
   ...input1 and input2 are same inputs with random transformations
- consistency cost = ||stu\_logits tea\_logits||<sup>2</sup>
   classification cost = ||true\_label stu\_logits||<sup>2</sup>
   Total cost = classification cost + λ\*consistency loss
   ... λ = consistency weight
- Calculate gradients and update parameters of the student model using SGD optimizer
- 2. Update teacher model weights as  $\theta'_t = \alpha \theta'_{t-1} + (1-\alpha)\theta_t$
- 3. Repeat 1 to 5 for num\_epochs

Metric used for evaluating performance of the model:

AUC-ROC curve → area under the curve of TPR vs FPR

The area under the ROC curve (AUC) results were considered:

- excellent for AUC values between 0.9-1
- good for AUC values between 0.8-0.9
- 3. fair for AUC values between **0.7-0.8**
- 4. poor for AUC values between 0.6-0.7
- 5. failed for AUC values between 0.5-0.6

#### Results:

EPOCH: 0
Total loss 1030.8222995717078
class loss 1030.6795802991837
consistency loss 0.14271906193789619
Total val loss 116.9856825787574
class val loss 116.9851873870939
consistency val loss 0.0004952538775668813
AUC score: 0.7131397116040785

EPOCH: 15
Total loss 751.6875173393637
class loss 763.1530292080715
consistency loss 48.534487834433094
Total val loss 72.88555252365768
class val loss 115.39641387760639
consistency val loss 0.0008830433657749381
AUC score: 0.7435411251388654

EPOCH: 5
Total loss 863.3749642847106
class loss 831.5139921056107
consistency loss 31.860972347902134
Total val loss 111.87360934261233
class val loss 111.87314185500145
consistency val loss 0.00046768243399775145
AUC score: 0.7636333017368004

EPOCH: 30
Total loss 596.3262274060398
class loss 509.4171453532763
consistency loss 86.90908176451921
Total val loss 123.90479649789631
class val loss 123.78775057010353
consistency val loss 0.11704600178563851
AUC score: 0.7176975372780114

#### Results:

EPOCH: 44

Total loss 585.3332506380975

class loss 494.7162082383875

consistency loss 90.61704244487919

Total val loss 125.19013787712902

class val loss 124.96883323788643

consistency val loss 0.22130451524390082

AUC score: 0.7185228960417668

EPOCH: 53
Total loss 583.6874677641317
class loss 493.72282836632803
consistency loss 89.96463950281031
Total val loss 124.2961710775271
class val loss 124.16575331613421
consistency val loss 0.130417572679562
AUC score: 0.7193798680416584

#### Future Work:

- Tuning the hyper-parameters like learning rate, LR scheduler, weight decay in SGD optimizer, consistency weight regulator, etc
- Adding self-consistency loss i.e. for two different transformations of same input, student model by itself should be self-consistent.